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Docket: 14036

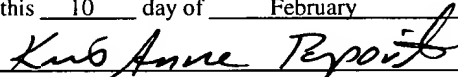
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Application No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT	Group Art Unit: 3676

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10 day of February, 2004.


KrisAnne Popovits

Dear Sir:

The following documents are enclosed in connection with the above-referenced patent application:

1. Information Disclosure Statement Under 37 CFR 1.97(b) (2 pages);
2. Form PTO/SB/08A (1 page, submitted in duplicate);
3. Copies of Eight (8) References Cited; and
4. Return Receipt Postcard.

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GROUP 3600

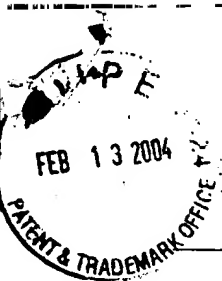
Respectfully submitted,

DORSEY & WHITNEY LLP
Customer Number 25763

Date: 2-10-04

By: 

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GROUP 3600

Docket: 14036

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Application No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT	Group Art Unit: 3676

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(b)

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

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KrisAnne Popovits
KrisAnne Popovits

Dear Sir:

Pursuant to 37 CFR § 1.97(b), the references listed on the attached Form PTO/SB/08A (1 page, submitted in duplicate) are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the identified references are enclosed as necessary. This IDS is being filed before the mailing of a first office action after the filing of an RCE under § 1.114. In accordance with 37 CFR § 1.97(b), no statement or fee is required.


Pursuant to MPEP 2001.06(c), the Applicant is making the Examiner aware of litigation involving Applicant. The case is entitled Transcom, Inc. v. Universal Motion Components Company, Inc., Court File No. 8:03 CV 223, United States District Court, District of Nebraska. Transcom is asserting U.S. Patent Nos. 6,186,507, 6,406,026, and 6,464,228, against the defendant. The subject application claims priority as a Continuation-In-Part of U.S. Patent 6,464,228, which is a Continuation-In-Part of U.S. Patent 6,186,507. The subject application also claims priority as a Continuation-In-Part of U.S. Patent 6,406,026, which is a Continuation of U.S. patent 6,186,507. The references listed on the attached Form PTO/SB/08A were recently identified by defendant in the litigation, and other associated patents and/or documents may come to our attention later.

Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicant requests that the Examiner consider each of the listed documents and initial and return to the undersigned a copy of the enclosed PTO/SB/08A (submitted in duplicate).

Respectfully submitted,

DORSEY & WHITNEY LLP
Customer Number 25763

Date: 2-10-04

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